

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

MULLEN AUTOMOTIVE, INC. and ULYSSES NOVOA,

Plaintiffs,

v.

TD AMERITRADE, INC., CHARLES SCHWAB & CO., INC., NATIONAL FINANCIAL SERVICES, LLC and JOHN DOES 1 THROUGH 10,

Defendants.

Case No. 1:23-cv-07647-AT

RULE 7.1 CORPORATE DISCLOSURE STATEMENT

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant National Financial Services, LLC, by and through the undersigned counsel, certifies the following:

Fidelity Global Brokerage Group, Inc. is the parent corporation of National Financial Services, LLC. No publicly-held corporation owns 10 percent or more of National Financial Services, LLC's stock.

Respectfully submitted,

Dated: New York, New York

September 7, 2023

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